

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

| APPLICATION NO.                             | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO |
|---|----------------|----------------------|-------------------------|-----------------|
| 09/970,076                                  | 10/03/2001     | John A.T. Young      | 960296.97745 9060       |                 |
| 7   | 590 09/05/2002 |                      |                         |                 |
| Bennett J. Berson                           |                |                      | EXAMINER                |                 |
| Quarles & Brady LLP 1 South Pinckney Street |                |                      | MINNIFIELD, NITA M      |                 |
| P O Box 2113<br>Madison, WI 53701-2113      |                |                      | ART UNIT                | PAPER NUMBER    |
|   | 55.01 21.5     |                      | 1645                    |                 |
|   |                |                      | DATE MAILED: 09/05/2002 | T .             |

Please find below and/or attached an Office communication concerning this application or proceeding.

| ,   |   | Application No.  | Applicant(s)  |  |  |  |  |
|---|---|--|---|--|--|--|--|
|   |   | 09/970,076   | YOUNG ET AL.  |  |  |  |  |
|   | Office Action Summary   | Examiner   | Art Unit  |  |  |  |  |
|   |   | N. M. Minnifield   | 1645  |  |  |  |  |
| The MAILING DATE of this communication appears on the cov r sheet with the correspondenc address Period for Reply   |   |  |   |  |  |  |  |
| THE  <br>- Extermiter<br>- If the<br>- If NO<br>- Failur<br>- Any a   | ORTENED STATUTORY PERIOD FOR REPLY MAILING DATE OF THIS COMMUNICATION. nsions of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. Period for reply specified above is less than thirty (30) days, a reply operiod for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b). | 36(a). In no event, however, may a reply be till within the statutory minimum of thirty (30) day rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE | mely filed ys will be considered timely. In the mailing date of this communication. ED (35 U.S.C. § 133). |  |  |  |  |
| 1)⊠   | Responsive to communication(s) filed on   | ·  |   |  |  |  |  |
| 2a) <u></u> □   | This action is <b>FINAL</b> . 2b)⊠ Thi  | is action is non-final.  |   |  |  |  |  |
| 3) 🗌  | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |  |   |  |  |  |  |
| · _   | ion of Claims   |  |   |  |  |  |  |
|   | Claim(s) <u>1-21</u> is/are pending in the application  |  |   |  |  |  |  |
|   | 4a) Of the above claim(s) <u>1-4 and 14-18</u> is/are withdrawn from consideration.   |  |   |  |  |  |  |
|   | Claim(s) is/are allowed.  |  |   |  |  |  |  |
|   | Claim(s) <u>5-13 and 19-21</u> is/are rejected.   |  |   |  |  |  |  |
| 7)∐   | , ,   |  |   |  |  |  |  |
| 8) Claim(s) are subject to restriction and/or election requirement.  Application Papers   |   |  |   |  |  |  |  |
| _   | The specification is objected to by the Examiner  | •  |   |  |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.   |   |  |   |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |   |  |   |  |  |  |  |
| 11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.  |   |  |   |  |  |  |  |
| If approved, corrected drawings are required in reply to this Office action.  |   |  |   |  |  |  |  |
| 12) The oath or declaration is objected to by the Examiner.   |   |  |   |  |  |  |  |
| Pri rity u  | ınder 35 U.S.C. §§ 119 and 120  |  |   |  |  |  |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).   |   |  |   |  |  |  |  |
| a)[   | a) ☐ All b) ☐ Some * c) ☐ None of:  |  |   |  |  |  |  |
|   | 1. Certified copies of the priority documents have been received.   |  |   |  |  |  |  |
|   | 2. Certified copies of the priority documents have been received in Application No  |  |   |  |  |  |  |
| 3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. |   |  |   |  |  |  |  |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  |   |  |   |  |  |  |  |
| a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.   |   |  |   |  |  |  |  |
| Attachment  | t(s)  | . , , , , , , , , , , , , , , , , , , ,  | <del></del>   |  |  |  |  |
| 2) 🔲 Notic  | e of References Cited (PTO-892) Sheets<br>e of Draftsperson's Patent Drawing Review (PTO-948)<br>nation Disclosure Statement(s) (PTO-1449) Paper No(s) <u>8</u> .   | 5) Notice of Informal  | y (PTO-413) Paper No(s)<br>Patent Application (PTO-152)   |  |  |  |  |
|   |   |  |   |  |  |  |  |

Application/Control Number: 09/970,076 Page 2

Art Unit: 1645

## DETAILED ACTION

1. Applicant's election with traverse of Group II, claims 5-13 and 19-21 as they relate to elected species SEQ ID NO: 1, in Paper No. 11 is acknowledged. The traversal is on the ground(s) that the polynucleotide of Group II encodes the polypeptide sequence of Group I, and accordingly a complete search by the Examiner will require the Examiner to look for the polypeptide sequences of Group

I. Applicants assert that there is no additional burden upon the Examiner to consider both groups together, while in contrast the restriction of these claims into two separate patents imposes a substantial burden upon Applicants. This is not found persuasive. The restricted Groups have acquired a separate status in the art as a separate subject for inventive effect and require independent searches. The search for each of the above inventions is not co-extensive particularly with regard to the literature search. A reference, which would anticipate the invention of one group, would not necessarily anticipate or make obvious any of the other groups. Moreover, as to the question of burden of search, classification of subject matter is merely one indication of the burdensome nature of the search involved. The literature search, particularly relevant in this art, is not co-extensive and is much more important in evaluating the burden of search. Burden in examining materially different groups having materially different issues also exist.

The requirement is still deemed proper and is therefore made FINAL.

2. Claims 1-4 and 14-18 have been withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected invention and/or

Art Unit: 1645

species, there being no allowable generic or linking claim. Applicant timely traversed the restriction (election) requirement in Paper No. 11.

- 3. Claims 10-13 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The claims are vague and indefinite with regard to the recitation of "a portion"; what are the metes and bounds of a portion. How much less of the full sequence of SEQ ID NO: 1 is needed to meet the hybridization conditions?
- 4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. It is noted that Applicants are entitled to the filing date of 10/3/01, not the filing date of the provisional application 60/251481 filed 12/5/00. The provisional application is not in compliance with the CRF Rules. If Applicants desire priority back to the provisional application, Applicants should direct the Examiner to the exact support, by page and sequence number, in the specification of the

Art Unit: 1645

provisional application. Further, the provisional application is not available to the Examiner at present.

6. Claims 5-7, 9-13, and 19-21 are rejected under 35 U.S.C. 102(a) as being anticipated by WO200134626-A1 (Accession #:AAD5303), Accession # BC012074 from NCBI,

The prior art discloses a polynucleotide sequence that has 99.7% similarity/identity with the claimed SEQ ID NO: 1. The prior art discloses the claimed polynucleotide sequence of SEQ ID NO: 1 or a fragment thereof. The prior art discloses methods for producing the polypeptide encoded by the polynucleotide sequence.

7. Claims 5-13, and 19-21 are rejected under 35 U.S.C. 102(a) as being anticipated by Bradley et al 2001 (Nature, 414(6860):225-229)

Bradley et al discloses a cellular receptor for the anthrax toxin, that it binds the protective antigen (abstract), and the sequence of the receptor (figure 2; see also Accession #: AF21380). Bradley et al discloses methods of cloning sequencing and producing the polypeptide encoded by the polynucleotide sequence (p. 228, col. 2). Bradley et al also references GenBank accession code BC012074 (p. 228). The prior art discloses SEQ ID NO: 1 or a fragment thereof.

8. Claims 5-10 are rejected under 35 U.S.C. 102(a) or (b) as being anticipated by St. Croix et al (2000).

Application/Control Number: 09/970,076

Art Unit: 1645

The prior art discloses the polynucleotide sequence as set forth in claimed SEQ ID NO: 1 or a fragment thereof (see accession # AF279145).

9. No claims are allowed.

10. The prior art made of record and not relied upon is considered pertinent to

applicant's disclosure.

11. Any inquiry concerning this communication or earlier communications from

the examiner should be directed to N. M. Minnifield whose telephone number is

703-305-3394. The examiner can normally be reached on M-F (8:00-5:30) Second

Friday Off.

If attempts to reach the examiner by telephone are unsuccessful, the

examiner's supervisor, Lynette R.F. Smith can be reached on 703-308-3909. The

fax phone numbers for the organization where this application or proceeding is

assigned are 703-308-4556 for regular communications and 703-308-4556 for

After Final communications.

Any inquiry of a general nature or relating to the status of this application

or proceeding should be directed to the receptionist whose telephone number is

703-308-0196.

HAMPIELLE PRINCIPLE PRIMARY EXAMENTED PRIMARY EX

Page 5